



Barriers to Accessing Tobacco Cessation Treatment in Medicaid

All Medicaid enrollees need access to a comprehensive tobacco cessation benefit that will help them quit. People enrolled in Medicaid smoke at much higher rates than the general population (30.1 percent versus 18.1 percent for ages 18-64). Medicaid enrollees, by definition, have low incomes and are less able to pay out of pocket for tobacco cessation treatments.

These are reasons enough to help people on Medicaid quit smoking, but there are more: smoking-related disease costs Medicaid programs millions of dollars every year—an average of \$833 million per state in 2013.²

But covering a comprehensive benefit is only the first step in helping Medicaid enrollees quit and reducing the economic burden of tobacco on Medicaid. Medicaid programs must also remove any policies that make it harder for patients to access quit smoking treatments. Removing these "utilization

Comprehensive Tobacco Cessation Benefit

- Nicotine patch
- Nicotine gum
- Nicotine lozenge
- Nicotine nasal spray
- Nicotine inhaler
- Bupropion
- Varenicline
- Individual counseling
- Group counseling
- Phone counseling

management" policies or "barriers" makes it easier for patients to obtain medications, attend counseling, and get the treatment they have determined, with their doctor, is right for them.

As of September 15, 2014, all 50 states and the District of Columbia have at least one barrier in place that makes it harder for Medicaid enrollees to use quit smoking benefits. These barriers include:

- Copay requirements
- Prior authorization requirements
- Duration limits on treatment
- Annual and lifetime limits on quit attempts
- Stepped care therapy requirements
- Counseling requirements for medications

This report provides more details on these policies in state Medicaid programs. The source of all data in this report, unless otherwise stated, is the American Lung Association State Tobacco Cessation Coverage Database. Data are current as of September 15, 2014. Please note that these data represent information about "traditional" Medicaid coverage, and does not include coverage information for Medicaid expansion, where applicable.

Copay Requirements

A copay is an amount of money the patient is responsible for paying at the pharmacy, doctor's office, or other healthcare site before the patient receives treatment. Studies show that charging a copay reduces utilization of tobacco cessation medications, 3,4,5 and also reduces the success rate of smokers quitting. 6,7

continued





For these and other reasons, the Affordable Care Act prohibits most private health insurance plans from requiring cost-sharing, including copays, for preventive services including tobacco cessation treatment. Unfortunately, this prohibition does not extend to Medicaid coverage, so many Americans with the lowest incomes are still being charged copays, while higher income individuals are not. States, however, can choose to not charge copays to this low-income group.

- Twenty-eight Medicaid programs charge copays for at least one tobacco cessation treatment.
- Tobacco cessation medications more often have copays than cessation counseling treatments.
- Copay amounts for tobacco cessation treatments range from 50 cents to \$3.

continued





Copays Charged for Tobacco Cessation Treatments in State Medicaid Programs+@

Key

- * Varies by Plan
- # Data Not Available
- + Dollar amounts expressed as ranges mean the copay varies depending on which brand the patient chooses
- Dollar amounts listed here are for nonpregnant patients.
 Copays are prohbited for pregnant women.

Copays Charge	a for i	DDacco	Cessa	LIOII ITE	atmer	its in Si	iate me			
State	Patch	Gum	Lozenge	Nasal Spray	Inhaler	Bupropion	Varenicline	Individual Counseling	Group Counseling	Phone Counseling
Alabama	None	None	None	None	None	None	None	None	N/A	None
Alaska	#	#	#	#	#	#	#	#	#	#
Arizona	None	None	None	None	None	None	None	None	N/A	None
Arkansas	None	None	N/A	N/A	N/A	None	None	None	N/A	None
California	None	None	None	None	None	None	None	None	None	None
Colorado	#	#	#	#	#	#	#	#	#	#
Connecticut	None	None	None	None	None	None	None	None	None	N/A
Delaware	.5 - 3	.5 - 3	.5 - 3	.5 - 3	.5 - 3	.5 - 3	.5 - 3	.5 - 3	N/A	N/A
District of Columbia	#	#	#	#	#	#	#	#	#	#
Florida	*	*	*	*	*	*	*	*	*	*
Georgia	None	None	None	None	None	None	None	None	N/A	None
Hawaii	*	*	*	*	*	*	*	*	*	*
Idaho	None	None	None	None	None	None	None	None	N/A	N/A
Illinois	#	#	#	#	#	#	#	#	#	#
Indiana	.5 - 3	.5 - 3	.5 - 3	.5 - 3	.5 - 3	.5 - 3	.5 - 3	None	None	None
lowa	1	1	1	1	1	1	1	1	N/A	1
Kansas	None	None	None	None	None	None	None	None	None	None
Kentucky	None	None	None	None	None	None	None	None	None	None
Louisiana	.5 - 3	.5 - 3	.5 - 3	.5 - 3	.5 - 3	.5 - 3	.5 - 3	N/A	None	None
Maine	None	None	None	None	None	None	None	None	None	N/A
Maryland	*	*	*	*	*	*	*	*	*	*
Massachusetts	1-3	1-3	1-3	1-3	1-3	1-3	1-3	None	None	None
Michigan	#	#	#	#	#	#	#	#	#	#
Minnesota	1-3	1-3	1-3	1-3	1-3	1-3	1-3	None	None	N/A
Mississippi	*	*	*	*	*	*	*	None	N/A	None
Missouri	None	None	None	None	None	None	None	None	N/A	N/A
Montana	None	None	None	N/A	N/A	None	None	None	N/A	None
Nebraska	2	2	2	2	2	2	2	None	N/A	N/A
Nevada	#	#	#	#	#	#	#	#	#	#
New Hampshire	1-2	1-2	1-2	1-2	1-2	1-2	1-2	Mone	Mone	 N/A
New Jersey	*	*	*	*	*	*	*	*	N/A	N/A
New Mexico	#	#	#	#	#	#	#	#	#	#
	*	*	*	*	*	*	*	*	*	
New York North Carolina	1-3	1-3	1-3	1-3	1-3	1-3				N/A
	<u>:</u>						1-3	None	N/A 2	N/A
North Dakota	0-3	0-3	0-3	0-3	0-3	0-3	0-3	2		N/A
Ohio	None	None	None	2	2	None	2	None	None	None
Oklahoma	None	None	None	None	None	None	None	.65-3.5	N/A	None
Oregon	#	#	#	# 1 7	# 1.7	# 1.7	#	#	#	#
Pennsylvania	1-3	1-3	1-3	1-3	1-3	1-3	1-3	None	None	N/A
Rhode Island	None *	None *	None *	None *	None *	None *	None *	None	None *	N/A *
South Carolina								*		
South Dakota	0-3	0-3	0-3	N/A	N/A	0-3	0-3	None	N/A	N/A
Tennessee	None	None	None	None	None	None	None	N/A	N/A	None
Texas	.5-3	.5-3	.5-3	N/A	N/A	.5-3	.5-3	*	*	*
Utah	3	3	3	3	3	3	3	None	None	N/A
Vermont	1-3	1-3	1-3	1-3	1-3	1-3	1-3	None	None	N/A
Virginia	*	*	*	*	*	*	*	*	*	*
Washington	None	None	None	None	None	None	None	None	N/A	None
West Virginia	.5-3	.5-3	.5-3	.5-3	.5-3	.5-3	N/A	N/A	.5-3	.5-3
Wisconsin	.5-1	.5	N/A	1	1	1	1	0-3	0-3	N/A
Wyoming	1-3	1-3	1-3	N/A	N/A	1-3	1-3	1-3	N/A	N/A
Total Requiring Copay	26	26	25	24	24	26	26	14	10	8





Prior Authorization Requirements

Plans may require that either the member or clinician contact the Medicaid program or managed care plan for authorization of a medication or treatment. Prior authorization may be required before the prescription is written or the treatment dispensed. Plans often use this requirement to steer patients towards less expensive medications. Prior authorization can delay treatment or cause the patient to get discouraged and stop seeking treatment.⁸

- Thirty-four state Medicaid programs require prior authorization of at least one tobacco cessation treatment.
- The treatments most frequently requiring prior authorization are: nicotine nasal spray, nicotine inhaler and varenicline.

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Prior Authorization Requirements for Tobacco Cessation Treatments in State Medicaid Programs

Nasal Individual Group Phone State Patch Gum Lozenge Inhaler **Bupropion** Varenicline Counseling Counseling Counseling Spray No Key Alabama Yes Yes Yes Yes Yes Yes Yes N/A No Varies by Plan # # # # # Alaska # # # N/A Not Applicable: Program Arizona No No No No No No No No N/A No does not cover treatment Data Not Available Arkansas Yes Yes N/A N/A N/A Yes Yes Yes N/A Yes California Colorado # # # # # # # # # # Connecticut No No No Yes Yes No No No Yes N/A Delaware Yes Yes Yes Yes Yes Yes Yes No N/A N/A District of Columbia # # # # # # # # # # Florida N/A Georgia No No Yes Yes No No No Yes Yes Hawaii N/A N/A Idaho No No No Yes Yes No Yes No Illinois N/A N/A No No No No No No N/A No No No No No No No No Indiana No No No No N/A Iowa Yes Yes Yes Yes Yes Yes No Kansas Kentucky Louisiana N/A N/A Maine No No Yes Yes Yes No No No No No No Maryland No No No No No No No Massachusetts No No No Yes Yes No No No No No Michigan # # # # # # # # # # No No No No No No N/A Minnesota No No No Mississippi No No No No No No No No N/A No Missouri Yes Yes Yes Yes Yes Yes Yes Yes N/A N/A No N/A N/A N/A Montana Yes Yes Yes Yes Yes No Nebraska Yes Yes Yes Yes Yes Yes Yes No N/A N/A Nevada Yes Yes Yes Yes Yes Yes Yes No No N/A N/A **New Hampshire** No No No No No No No No No New Jersey N/A N/A New Mexico # # # # # # # # # # New York N/A North Carolina No No No No No No No No N/A N/A North Dakota Yes Yes Yes Yes Yes Yes Yes No No N/A Ohio No No No No No No N/A No N/A No Oklahoma No No No No No No No Oregon # # # # # # # # # # Pennsylvania N/A Yes No Rhode Island Yes Yes Yes Yes Yes Yes N/A No South Carolina South Dakota No No No N/A N/A No No No N/A N/A N/A N/A Tennessee No No No Yes Yes No Yes Texas No No No N/A N/A No No No Utah No No No Yes No No Yes No No N/A

Vermont

Virginia Washington

West Virginia

Authorization

Total Requiring Prior

Wisconsin

Wyoming

No

Yes

No

No

22

No

Yes

No

No

22

No

Yes

N/A

No

21

Yes

Yes

No

N/A

29

Yes

Yes

No

N/A

29

No

Yes

No

No

22

No

N/A

No

No

27

No

N/A

No

No

13

No

N/A

No

N/A

12

N/A

No

N/A

N/A

10





Annual and Lifetime Limits on Quit Attempts

Some Medicaid programs limit the number of times a tobacco user can use cessation benefits per year or per lifetime. These limits fail to recognize that tobacco use is an addiction that may be fought for years, and that relapses are likely for most users. Most smokers try many times before they can quit successfully.9 Limiting quit attempts per year, or especially per lifetime can lead to tobacco users trying to quit without evidence-based treatment, or not quitting at all.

- Thirty-two state Medicaid programs have annual limits on quit attempts.
- The most common annual limit is twice per year.

Four state Medicaid programs have lifetime limits on quit attempts.

Limits on Quit Attempts in State Medicaid Programs

State	Annual Limit (Number allowed per year)	Lifetime Limit (Number allowed per lifetime)
Alabama	1	No Limit
Alaska	#	#
Arizona	2	No Limit
Arkansas	2+	No Limit
California	*	No Limit
Colorado	2	No Limit
Connecticut	2	No Limit
Delaware	3	No Limit
District of Columbia	#	#
Florida	*	*
Georgia	2	No Limit
Hawaii	2	No Limit
Idaho	2	No Limit
Illinois	No Limit	No Limit
Indiana	1	No Limit
lowa	1	No Limit
Kansas	1	No Limit
Kentucky	*	No Limit
Louisiana	No Limit	No Limit
Maine	No Limit	No Limit
Maryland	*	No Limit
Massachusetts	2	No Limit
Michigan	#	#
Minnesota	No Limit	No Limit
Mississippi	No Limit	No Limit
Missouri	No Limit	2

	Annual Limit (Number	Lifetime Limit (Number
State	allowed per year)	allowed per lifetime)
Montana	2	No Limit
Nebraska	2	No Limit
Nevada	2	No Limit
New Hampshire	No Limit	No Limit
New Jersey	*	*
New Mexico	#	#
New York	2	No Limit
North Carolina	No Limit	No Limit
North Dakota	.5+	No Limit
Ohio	No Limit	No Limit
Oklahoma	2	No Limit
Oregon	#	#
Pennsylvania	No Limit	No Limit
Rhode Island	2	No Limit
South Carolina	*	No Limit
South Dakota	No Limit	No Limit
Tennessee	24 weeks	No Limit
Texas	No Limit	No Limit
Utah	No Limit	No Limit
Vermont	2	No Limit
Virginia	*	No Limit
Washington	*	*
West Virginia	1+	No Limit
Wisconsin	No Limit	No Limit
Wyoming	1	No Limit

- Key
 * Varies by Plan
 atter
- More quit attempts allowed for pregnant women
- # Data Not Available





Limits on Length of Treatment

Medicaid programs may limit the length of treatment for medications, or limit the number of counseling sessions that are covered. After the patient has reached the limit, he or she either has to pay for the remaining treatment out-of-pocket, or stop treatment early, which could lead to relapse.

The Public Health Service Guideline on Treating Tobacco Use and Dependence states that use of up to 6 months of some tobacco cessation medications may be appropriate for patients experiencing persistent withdrawal symptoms.¹⁰

The Guideline also states that there is a "dose-response relationship" between number of sessions and treatment effectiveness, and recommends patients receive four or more counseling sessions per quit attempt.¹¹

- Thirty-five state Medicaid programs limit duration of at least one tobacco cessation treatment.
- The most common duration limit for medications is 12 weeks.
- The most common limit on number of counseling sessions is 4 sessions.

Limits on Duration for Tobacco Cessation Treatments in State Medicaid Programs

State	Limit on Medications (units specified)	Limit on Counseling (in number of sessions)
Alabama	12 weeks	No Limit
Alaska	#	#
Arizona	12 weeks	4
Arkansas	93 days	No Limit
California	*	*
Colorado	*	*
Connecticut	No Limit	12
Delaware	No Limit	No Limit
District of Columbia	#	#
Florida	*	*
Georgia	12 weeks	4
Hawaii	*	No Limit
Idaho	90 days	No Limit
Illinois	No Limit	No Limit
Indiana	12 weeks	150 Minutes
lowa	12 weeks	1
Kansas	24 weeks for varenicline & inhaler, 12 weeks for all others	No Limit
Kentucky	*	*
Louisiana	*	*
Maine	No Limit	No Limit
Maryland	*	*
Massachusetts	No Limit	16
Michigan	#	#
Minnesota	No Limit	No Limit
Mississippi	*	*
Missouri	12 weeks	12
Montana	24 weeks for va- renicline, 16 weeks for all others	

State	Limit on Medications (units specified)	Limit on Counseling (in number of sessions)
Nebraska	90 days	5
Nevada	12 weeks	No Limit
New Hampshire	No Limit	No Limit
New Jersey	*	No Limit
New Mexico	#	#
New York	*	4
North Carolina	No Limit	No Limit
North Dakota	90 days	4
Ohio	No Limit	No Limit
Oklahoma	180 days	8
Oregon	#	#
Pennsylvania	6 refills	10
Rhode Island	90 days	*
South Carolina	90 days	*
South Dakota	No Limit	No Limit
Tennessee	24 weeks	No Limit
Texas	No Limit	No Limit
Utah	No Limit	No Limit
Vermont	8 weeks for NRT, 12 weeks for bupropion and varenicline	16
Virginia	*	*
Washington	*	*
West Virginia	12 weeks	*
Wisconsin	No Limit	No Limit
Wyoming	6 weeks for patch, 12 weeks for all others	No Limit

Key* Varies by PlanNRT Nicotine replacement therapy# Data Not Available

Note: some states, in addition to or instead of limiting duration of medications, limit the quantity of medication the patient can obtain per quit attempt. States that limit treatment in this way are noted on the chart below.





Stepped Care Therapy Requirements

Some Medicaid programs require patients to try a certain medication before they are allowed to try others. Usually the first "step" in a system is the gum, patch, or bupropion (generally the cheapest options), and only if the patient fails using those methods are they allowed to try other medications. This barrier usually discourages the use of more expensive medications and fails to recognize that some treatments may not appeal to or work for certain smokers. Patients also may have tried certain treatments before and would only want to try treatments they had not tried before.

• Sixteen state Medicaid programs have stepped care therapy requirements for tobacco cessation medications.

Stepped Care Therapy Requirements for Tobacco Cessation Medications in State Medicaid Programs

State	Stepped Care Therapy Requirement?
Alabama	No
Alaska	#
Arizona	No
Arkansas	No
California	*
Colorado	No
Connecticut	No
Delaware	Yes
District of Columbia	#
Florida	*
Georgia	Yes
Hawaii	*
Idaho	Yes
Illinois	No
Indiana	#
lowa	Yes
Kansas	No
Kentucky	No
Louisiana	No
Maine	Yes
Maryland	*
Massachusetts	No
Michigan	#
Minnesota	No
Mississippi	No
Missouri	No
Montana	Yes

State	Stepped Care Therapy Requirement?
Nebraska	No
Nevada	No
New Hampshire	No
New Jersey	No
New Mexico	#
New York	No
North Carolina	No
North Dakota	No
Ohio	*
Oklahoma	No
Oregon	#
Pennsylvania	No
Rhode Island	Yes
South Carolina	*
South Dakota	No
Tennessee	Yes
Texas	No
Utah	No
Vermont	No
Virginia	Nr.
Washington	No
West Virginia	Yes
Wisconsin	No
Wyoming	No
Total Requiring Stepped Care Therapy	16

Key

- * Varies by plan
- # Data Not Available





Counseling Requirements for Medications

Some Medicaid programs require that patients enroll in cessation counseling in order for them to get a prescription for cessation medications. The U.S. Public Health Service recommends that while health plans should encourage this combination, they should not require it. Such a requirement could discourage certain smokers (wary of or unable to attend counseling) from attempting to quit at all.

- Twenty state Medicaid programs require the patient attend counseling in order to receive medications.
- The most common form of required counseling is individual counseling.

Counseling Requirements for Tobacco Cessation Medications in State Medicaid Programs

State	Type of Counseling Required	
Alabama	Phone	
Alaska	#	
Arizona	None	
Arkansas	Individual	
California	*	
Colorado	*	
Connecticut	None	
Delaware	Individual	
District of Columbia	#	
Florida	*	
Georgia	Individual	
Hawaii	*	
Idaho	None	
Illinois	None	
Indiana	Any	
lowa	Phone	
Kansas	None	
Kentucky	*	
Louisiana	*	
Maine	None	
Maryland	*	
Massachusetts	None	
Michigan	#	
Minnesota	None	
Mississippi	None	
Missouri	None	

State	Type of Counseling Required		
Montana	None		
Nebraska	Phone		
Nevada	None		
New Hampshire	None		
New Jersey	None		
New Mexico	#		
New York	None		
North Carolina	None		
North Dakota	Phone		
Ohio	None		
Oklahoma	None		
Oregon	#		
Pennsylvania	None		
Rhode Island	Individual		
South Carolina	*		
South Dakota	None		
Tennessee	None		
Texas	None		
Utah	None		
Vermont	None		
Virginia	*		
Washington	*		
West Virginia	Individual		
Wisconsin	None		
Wyoming	None		
Total Requiring Counseling	20		

Key

^{*} Varies by Plan

[#] Data Not Available





- 1 Centers for Disease Control and Prevention. National Center for Health Statistics. National Health Interview Survey, 2012. Analysis by the American Lung Association, Research and Program Services Division.
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- 3 Curry SJ, Grothaus LC, McAfee T, Pabiniak P. Use and cost effectiveness of smoking-cessation services under four insurance plans in a health maintenance organization. *N Engl J Med.* Sep 3 1998; 339(10):673-9.
- 4 Hughes JR, Wadland WC, Fenwick JW, Lewis J, Bickel WK. Effect of cost on the self-administration and efficacy of nicotine gum: A preliminary study. *Prev Med.* July 1991; 20(4):486-96.
- 5 Zeng F, et al. Utilization management for smoking cessation pharmacotherapy: Varenicline rejected claims analysis. Am J Manag Care. 2010;16(9):667-74
- 6 Cox JL, McKenna MP. Nicotine gum: does providing it free in a smoking cessation program alter success rates? *J Fam Prac.* Sep 1990; 31(3):278-80.
- 7 Hughes.
- 8 Zeng.
- 9 Treating Tobacco Use and Dependence. April 2013. Agency for Healthcare Research and Quality, Rockville, MD. http://www.ahrq.gov/professionals/clinicians-providers/guidelines-recommendations/tobacco/clinicians/update/index.html
- 10 Treating Tobacco Use and Dependence.
- 11 Treating Tobacco Use and Dependence.